North Devon Council

Report Date: 14th March 2023

Topic: Audit Recommendation Tracker

Report by: Chief Executive

1. INTRODUCTION
   1.1. This is the regular progress report to the Committee in relation to action taken to address internal and external audit recommendations.

2. RECOMMENDATIONS
   2.1. That the Committee note the actions that have been taken to address identified risk since the previous Governance Committee on 10th January 2023.
   2.2. That the Committee raises any concerns arising from the list of outstanding actions on the Pentana system.

3. REASONS FOR RECOMMENDATIONS
   3.1. To give assurance to the Committee that the recommendations are being actively managed via regular updates to the Pentana system and to give the Committee an opportunity to review any areas of concern.

4. REPORT
   4.1. The Senior Management Team has reviewed the high and medium risk recommendations to assess progress and instigate any required actions.
   4.2. Since the last meeting the number of actions on the Pentana system has risen to 1,411.
   4.3. With regards to progress against the tracking of the Annual Governance Statement, an annual review of North Devon Council's governance arrangements leads to the Annual Governance Statement, which forms part of the Statement of Accounts.
   4.4. The attached appendices A-E detail all of the actions and information, which is currently held on the Pentana system:

      - Appendix A – Live audits = 29.
      - Appendix B – Completed recommendations = 30.
      - Appendix C – Outstanding recommendations = 11.
      - Appendix D - Overdue actions = Nil.
      - Appendix E – Annual Governance Statement, the review captures recommendations from external and internal audit and inspections and tracked through Pentana.

5. RESOURCE IMPLICATIONS
   5.1. Any resource implications will be addressed as part of actions updates on the Pentana system.

6. EQUALITIES ASSESSMENT
   6.1. There are no equalities implications anticipated as a result of this report.

7. ENVIRONMENTAL ASSESSMENT
   7.1. None.

8. CORPORATE PRIORITIES
   8.1. None.

9. CONSTITUTIONAL CONTEXT
   9.1. Article of Part 3 Annexe 1 paragraph: 5
   9.2. Referred or delegated power: Delegated.

10. STATEMENT OF CONFIDENTIALITY
    This report contains no confidential information or exempt information under the provisions of Schedule 12A of 1972 Act.

11. BACKGROUND PAPERS

The following background papers were used in the preparation of this report:

(a) The Pentana system to generate the appendix from the live actions on the system.

(The background papers are available for inspection and kept by the author of the report).

12. STATEMENT OF INTERNAL ADVICE

The author (below) confirms that advice has been taken from all appropriate Councillors and Officers.